

Kansas Administrative Regulations

Department of Insurance Assistant Commissioner, Securities Division

Article 11.—Administrative Procedure

81-11-3. Form of pleadings.

(a) Except as otherwise provided by K.A.R. 81-2-1(d), each written request, motion, notice, and other pleading filed in any proceeding shall contain the caption "BEFORE THE SECURITIES COMMISSIONER OF THE STATE OF KANSAS," the title of the proceeding, the docket number and the name of the pleading, and shall be in substantial compliance with K.S.A. 1984 Supp. 60-2702a, Rule No. 111.

(b) Any oral statement or request not given on the record during a proceeding and any letter, memo, or note not in substantial compliance with subsection (a) above shall not constitute a filing in the proceeding.

(Authorized by K.S.A. 1984 Supp. 17-1270 and K.S.A. 17-1282; implementing K.S.A. 17-1254, as amended by L. 1985, Ch. 88, Sec. 1, K.S.A. 17-1254a, K.S.A. 17-1260, as amended by L. 1985, Ch. 88, Sec. 2, K.S.A. 1984 Supp. 17-1261, K.S.A. 1984 Supp. 17-1262, as amended by L. 1985, Ch. 89, Sec. 1, K.S.A. 17-1266a, as amended by L. 1985, Ch. 88, Sec. 4, K.S.A. 17-1277, and K.S.A. 17-1281; effective May 1, 1984; amended, T-86-38, Dec. 11, 1985; amended May 1, 1986.)

***** Authenticated Kansas Administrative Regulation *****