

Kansas Administrative Regulations

Department of Insurance Assistant Commissioner, Securities Division

Article 3.—Licensing; Broker-Dealers and Agents

81-3-2. Broker-dealer and agent registration fees.

(a) The fee for initial registration or renewal of the registration of each broker-dealer shall be \$200.

(b) The fee for initial registration or renewal of the registration of each agent shall be \$60.

(c) The CRD shall be authorized to receive and store filings and to collect the fees specified in this regulation from broker-dealers and agents on behalf of the administrator.

(Authorized by K.S.A. 17-12a605(a); implementing K.S.A. 17-12a410; effective, E-82-24, Dec. 9, 1981; effective May 1, 1982; amended, T-87-41, Dec. 8, 1986; amended May 1, 1987; amended, T-81-9-12-88, Sept. 12, 1988; amended Oct. 25, 1988; amended Jan. 15, 1990; amended Oct. 7, 1991; amended Dec. 19, 1997; amended Oct. 26, 2001; amended Aug. 18, 2006; amended Dec. 19, 2008; amended Oct. 9, 2015.)

***** Authenticated Kansas Administrative Regulation *****