

Kansas Administrative Regulations

Department of Insurance Assistant Commissioner, Securities Division

Article 5.—Exemptions

81-5-8. Fees for exemption filings and interpretive opinions.

(a) A fee of \$250 shall be remitted with each form D or notice filed in connection with the following exemptions:

- (1) The uniform limited offering exemption for rule 505 offerings as specified in K.A.R. 81-5-6;
- (2) the accredited investor exemption as specified in K.A.R. 81-5-13; and
- (3) the exemption for securities of agricultural associations as specified in K.A.R. 81-5-18.

(b) A fee of \$250 shall be remitted with each request for a no-action letter or interpretive opinion letter from the administrator.

(Authorized by K.S.A. 2005 Supp. 17-12a605(a); implementing K.S.A. 2005 Supp. 17-12a205 and 17-12a605(d); effective, T-88-29, Aug. 19, 1987; amended May 1, 1988; amended Oct. 7, 1991; amended June 28, 1993; amended Dec. 19, 1997; amended Jan. 19, 2007.)

***** Authenticated Kansas Administrative Regulation *****